

Process Improvement Risk Categories and Sources		
ID	Risk Category	Risk Sources
1	Organization Mission and Goals	Process Improvement Project Fit to Organization
2		Process Improvement Project Fit to Business Users or Customers
3		Relation to Other Process Improvement Efforts
4		Work Flow
5	Organization Culture	Attitude Toward Change
6		Experience with Quality Programs
7		Action Orientation
8		Use of Measurement and Facts
9		Patience with Change
10		Alignment on Mission and Needs
11		Tools Orientation
12		Level of "Planfulness"
13		Use of Training in Organization
14		Meeting Behavior with Organization Levels
15		Meeting Practices
16		Organization Roles and Responsibilities
17		Experience with Consultants on Other Process Improvement Programs
18	Organization Management	Organization Stability
19		Executive Involvement
20		Management Awareness of Process Improvement
21		Management Support
22		Management Teams
23		Middle Management Participation
24		Management Credibility
25		Level of Trust in Management
26		Ability to Deal with Personnel Issues
27		Degree of Empowerment
28	Organization Process Users	Level of Discipline
29		Policies and Standards
30		Developer Involvement
31		Level of Acceptance
32		Process Improvement Training of Organization
33		Percentage of Permanent Staff
34	Process Improvement Project Parameters	Project Size
35		Dedicated Process Improvement Staff
36		Budget Size
37		Cost Controls for Project
38		Politically Determined Goals or Dates
39		Dates in Process Improvement Plan



40		Schedule Aggressiveness
41	Process	Requirements Stability
42	Improvement	Requirements Complete and Clear
43	Project	Testability/Pilot Possibilities
44	Deliverables	Dependencies on Other Efforts
45		Approach
46		Measurable Project Objectives
47	Process	Communication Within Process Improvement Teams
48	Improvement	Commitment Process
49	Project	Experience of Project Manager
50	Management	Political Skills of Project Manager
51		Attitude of Project Manager
52		Authority and/or Support of Project Manager
53		Use of Defined Process by Process Improvement Team
54	Process	Management of Dependencies Across Process Improvement Teams
55	Improvement	Early Identification of Defects
56	Development	Change Control for Work Products
57	Process	Defect Tracking
58		Pilot Approach
59		Mentoring Approach
60	Process	Physical Facilities for Process Improvement Teams
61	Improvement	Hardware and Software Support for Process Improvement Teams
62	Development	Amount of Communication to and from Organization
63	Environment	Consultant Support
64		Consultants Working in Focus Areas of Change
65		Examples and Reusable Components
66		Staff Availability
67		Staff Selection Process
68		Mix of Staff Skills
69		Respect for Process Improvement Project Team Members
70	Process	Experience with Organization Change
71	Improvement	Training of Process Improvement Teams
72	Project Teams	Experience as Teams
73		Team Spirit and Attitude
74		Team Productivity
75		Personality Fit
76		Expertise with Domain
77	Organization	Complexity of Deliverables
78	Process	Availability of Deliverables
79	Maintenance	Availability of Process Owners